

Hurwitz Number Fields

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Notation: $NF_m(\mathcal{P})$ is the set of isomorphism classes of degree m number fields ramified only within \mathcal{P} and with associated Galois group all of A_m or S_m .

1. **Sets $NF_m(\mathcal{P})$ and mass heuristics**
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6. **2000 fields in $NF_{202}(\{2, 3, 5\})$**
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1. Sets $NF_m(\mathcal{P})$ and mass heuristics. Let $F_{\mathcal{P}}(m) = |NF_m(\mathcal{P})|$. Some known cases:

\mathcal{P}	1	2	3	4	5	6	7
$\{\}$	1	0	0	0	0	0	0
$\{2\}$	1	3	0	0	0	0	0
$\{2, 3\}$	1	7	9	23	5	62	10
$\{2, 3, 5\}$	1	15	32	144	1415		
$\{2, 3, 5, 7\}$	1	31	108	906	11465		
$\{2, 3, 5, 7, 11\}$	1	63	360	5488			
$\{2, 3, 5, 7, 11, 13\}$	1	127	1168	31684			

E.g., $NF_5(\{2, 3\}) = \{\mathbb{Q}[x]/f_i(x)\}_{i=1,\dots,5}$ with

$$f_1(x) = x^5 - 2x^4 + 4x^3 - 6x + 12$$

$$f_2(x) = x^5 - 2x^4 + 2x^3 + 4x^2 - 5x + 2$$

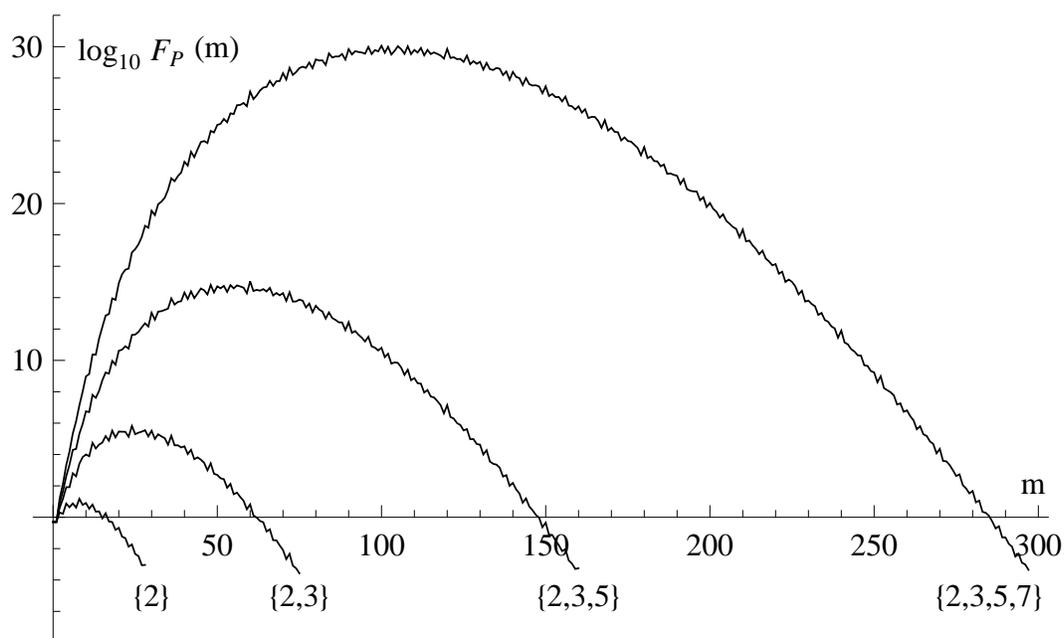
$$f_3(x) = x^5 - x^4 - 2x^3 + 6x^2 - 6x + 6$$

$$f_4(x) = x^5 - 2x^3 - 4x^2 - 9x - 4$$

$$f_5(x) = x^5 - x^4 + 4x^3 - 12x^2 + 12x - 12$$

A local-global mass heuristic lets one predict $F_{\mathcal{P}}(m)$. For example, it predicts $F_{\{2,3,5,7\}}(5) \approx 15561$ while in fact $F_{\{2,3,5,7\}}(5) = 11465$. It seems reasonable to expect that the prediction is asymptotically correct as one goes down a column.

But what about going to the right on a row, i.e. the behavior of $F_{\mathcal{P}}(m)$ for fixed \mathcal{P} and increasing m ? The literal predictions of the mass heuristic are as follows in some examples:



This might lead one to expect that, for any fixed \mathcal{P} , no matter how large, $F_{\mathcal{P}}(m)$ is eventually zero. This may be indeed be correct for “small” \mathcal{P} . For example, the largest m for

$$\text{which } \begin{cases} F_{\{2\}}(m) \\ F_{\{2,3\}}(m) \end{cases} \text{ is known to be nonzero is } \begin{cases} m = 2 \\ m = 64 \end{cases} .$$

However. . .

For Γ a non-abelian finite simple group, let \mathcal{P}_Γ be the set of primes dividing $|\Gamma|$. Note that the only such \mathcal{P}_Γ with $|\mathcal{P}_\Gamma| \leq 3$ are $\{2, 3, p\}$ with $p \in \{5, 7, 13, 17\}$.

Define \mathcal{P} to be *large* if \mathcal{P} contains some \mathcal{P}_Γ and *small* otherwise. So if $|\mathcal{P}| \leq 2$ or $2 \notin \mathcal{P}$ then \mathcal{P} is small.

This talk is about a systematic (and rather classical!) construction of what we call *Hurwitz number algebras*. Their ramifying primes are very well controlled and evidence points strongly to Galois groups being generically the full alternating or symmetric groups on the degree. Accordingly we now think,

Conjecture. *For any fixed large \mathcal{P} , the number $F_{\mathcal{P}}(m)$ can be arbitrarily large.*

2. First example. Consider polynomials in $\mathbb{C}[y]$ of the form

$$g(y) = y^5 + by^3 + cy^2 + dy + e.$$

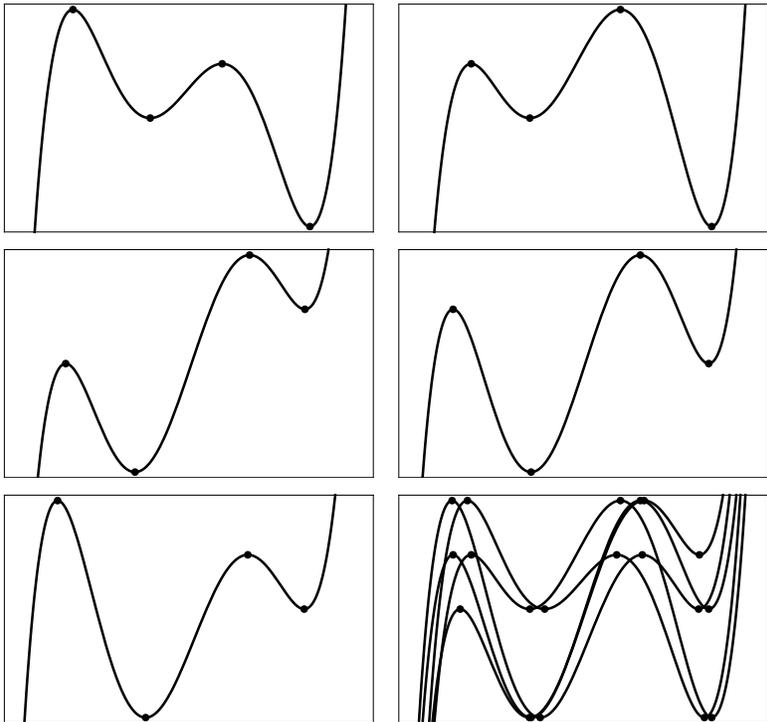
The four critical values are given by the roots of the resultant

$$r(t) = \text{Res}_y(g(y) - t, g'(y)).$$

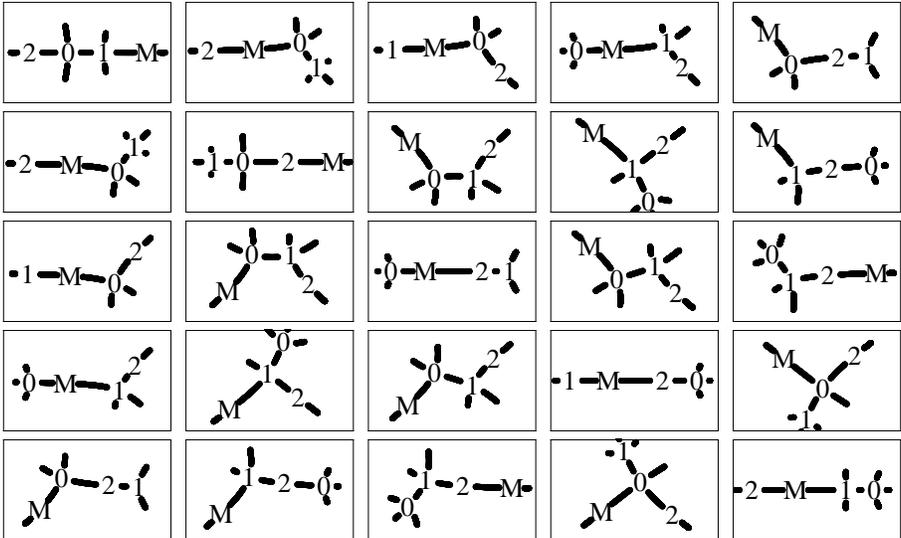
Explicitly, this resultant works out to

$$\begin{aligned} r(t) = & 3125t^4 \\ & + 1250(3bc - 10e)t^3 \\ & + (108b^5 - 900b^3d + 825b^2c^2 - 11250bce + 2000bd^2 \\ & \quad + 2250c^2d + 18750e^2) t^2 \\ & - 2(108b^5e - 36b^4cd + 8b^3c^3 - 900b^3de + 825b^2c^2e + 280b^2cd^2 \\ & \quad - 315bc^3d - 5625bce^2 + 2000bd^2e + 54c^5 + 2250c^2de \\ & \quad - 800cd^3 + 6250e^3) t \\ & + (108b^5e^2 - 72b^4cde + 16b^4d^3 + 16b^3c^3e - 4b^3c^2d^2 - 900b^3de^2 \\ & \quad + 825b^2c^2e^2 + 560b^2cd^2e - 128b^2d^4 - 630bc^3de + 144bc^2d^3 \\ & \quad - 3750bce^3 + 2000bd^2e^2 + 108c^5e - 27c^4d^2 + 2250c^2de^2 \\ & \quad - 1600cd^3e + 256d^5 + 3125e^4). \end{aligned}$$

Some pictures illustrating the situation:



Five real polynomials with critical values $-2, 0, 1, 2$



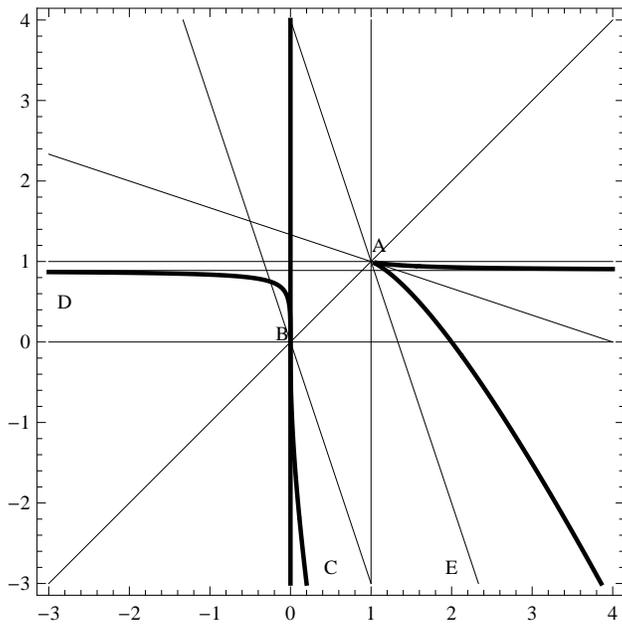
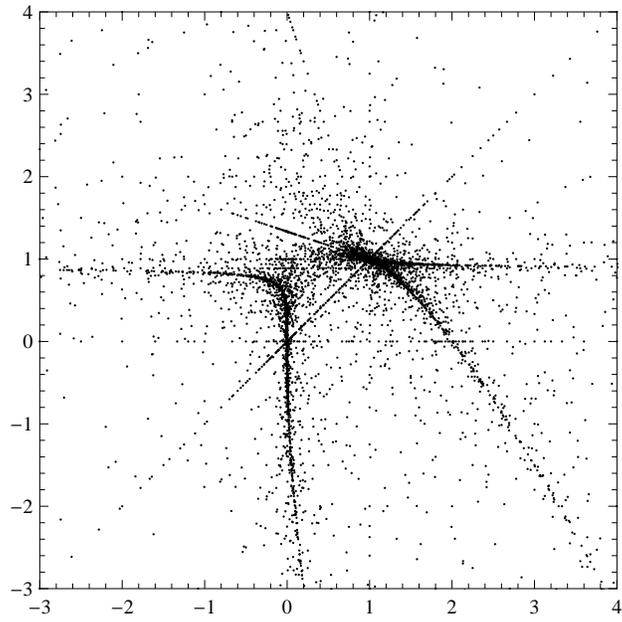
The preimage of $[-2, 2]$ under all twenty-five polynomials

3. 10000 fields in $NF_{25}(\{2, 3, 5\})$ Our *specialization polynomial* $(t + 2)t(t - 1)(t - 2)$ can be replaced by any quartic polynomial with leading coefficient and discriminant divisible only by 2, 3, and 5. Via changes of coordinates, most cases are covered by the family

$$s(u, v; t) = t^4 - 6ut^2 - 8ut - 3uv.$$

The corresponding moduli polynomial $F(u, v; e)$ has 145 terms with coefficients averaging 37 digits.

A small search gets 11031 pairs (u, v) which keep ramification in $\{2, 3, 5\}$:

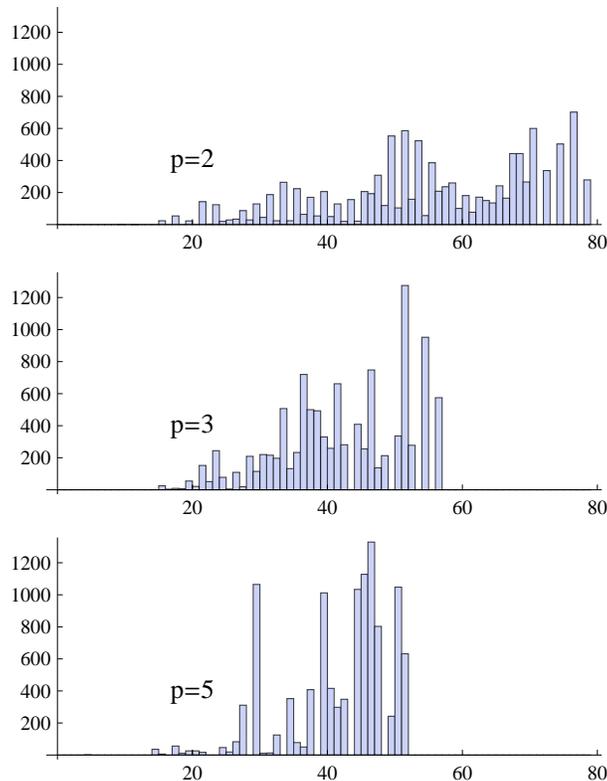


Top: Specialization points (u, v) for $F(u, v; e)$.
 Bottom: the discriminant locus (thick) and special lines (thin).

Over each of the special lines, the defining equation can be much simplified. E.g. over $u = v$ it becomes

$$f_{AB}(u, x) = 4(1 - u)(x + 2) \cdot (729x^8 - 486x^7 - 702x^6 - 8x^5 + 105x^4 + 1118x^3 - 1557x^2 + 1296x - 576)^3 - 5^{15}u(x - 1)^4x^9.$$

Computation shows that all 11031 specialization points give A_{25} or S_{25} fields. The behavior of the exponents a, b, c in $D = \pm 2^a 3^b 5^c$ is very constrained:



4. Generalities I: Families. In general, a Hurwitz number algebra is indexed by its *parameter*,

$$H = (\lambda_1, \dots, \lambda_\ell; Z_1, \dots, Z_\ell; M).$$

The parameter for our first example was

$$H = (2111, 5; \{-2, 0, 1, 2\}, \{\infty\}; S_5).$$

In general, the λ_i are partitions of a given positive integer n , the Z_i are disjoint $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -stable subsets of $\mathbb{P} = \mathbb{C} \cup \{\infty\}$, and M is a transitive degree n permutation group of the form Γ or $\Gamma.2$ with Γ non-abelian simple.

Let X_H be the set of degree n covers of \mathbb{P} , ramified only over $\cup Z_i$, with local ramification partition λ_i for all $t \in Z_i$, and global monodromy group M . Then $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ acts naturally on X_H and the corresponding Hurwitz number algebra is K_H . Its primes of bad reduction are within the primes of bad reduction for $\cup Z_i$ and the primes dividing $|M|$.

Replacing each Z_i by its size z_i gives the corresponding familial parameter:

$$h = (\lambda_1, \dots, \lambda_\ell; z_1, \dots, z_\ell; M).$$

Each h gives a chain of varieties

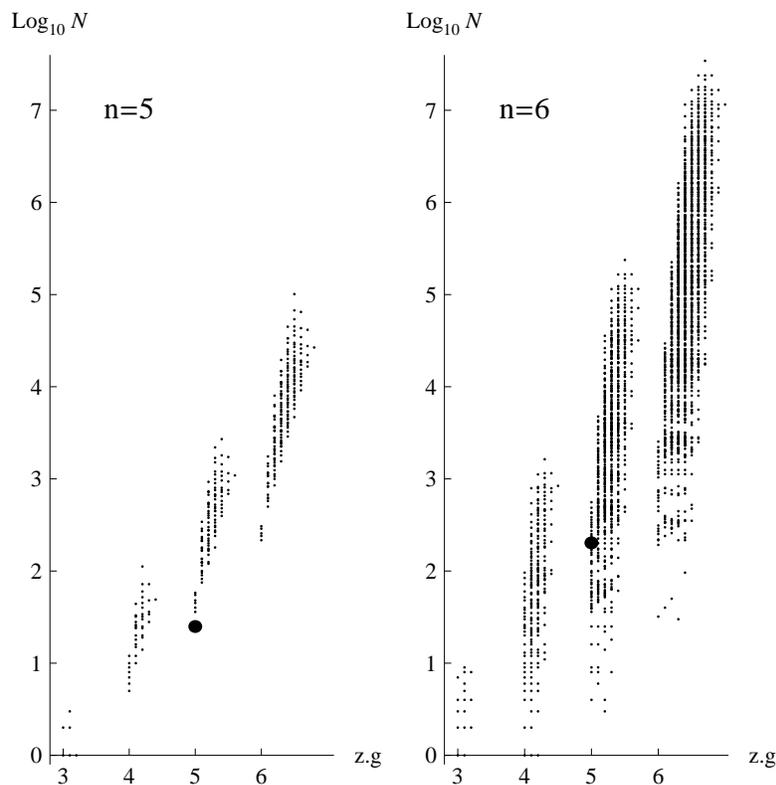
$$Y_h \xrightarrow{n} X_h \times \mathbb{P} \rightarrow X_h \xrightarrow{m} U_{z_1, \dots, z_\ell}.$$

One starts with a focus on degree n covers of \mathbb{P} and ends with a complicated degree m cover X_h of the very simple variety U_{z_1, \dots, z_ℓ} .

One can cut down dimensions by three via the natural $PGL_2(\mathbb{C})$ action. In our degree twenty-five case $h = (2111, 5; 4, 1; S_5)$, the u - v plane is an essential slice of the five-dimensional variety $U_{4,1}$. Over this slice, the cover X_h is given by the equation $F(u, v; e) = 0$.

Important invariants of families are

- n , the degree of the original cover
- z , the number of ramification points
- g , the genus of the original cover
- m , the degree of the moduli cover



Dots correspond to families

		$z = 11111$						
n	g	λ_1	λ_2	λ_3	λ_4	λ_5	m	μ
6	1	2	3	22	222	33	69	

		$z = 311$						
n	g	λ_1	λ_1	λ_1	λ_2	λ_3	m	μ
6	0	3	3	3	2	4	96	
6	0	2	2	2	4	5	75	
6	0	2	2	2	4	42	72	
6	0	22	22	22	2	222	60	6.0
6	0	2	2	2	22	6	54	
6	0	2	2	2	32	33	54	
5	0	2	2	2	3	4	48	
5	0	2	2	2	22	4	48	
5	0	2	2	2	3	32	45	
6	0	3	3	3	2	222	44	
6	0	2	2	2	3	6	36	
6	0	2	2	2	4	33	36	
5	0	2	2	2	22	32	36	
6	0	2	2	2	222	5	25	
6	0	2	2	2	222	42		16.0
6	1	222	222	222	2	3	9a	
6	0	2	2	2	222	33	9b	
6	1	222	222	222	2	22		6.0

		$z = 41$						
n	g	λ_1	λ_1	λ_1	λ_1	λ_2	m	μ
6	0	3	3	3	3	22	192	
5	0	2	2	2	2	5	25	

		$z = 32$						
n	g	λ_1	λ_1	λ_1	λ_2	λ_2	m	μ
5	0	3	3	3	2	2	55	
6	1	3	3	3	222	222	48	1.3
5	0	22	22	22	2	2	40	

		$z = 5$						
n	g	λ_1	λ_1	λ_1	λ_1	λ_1	m	μ
6	0	3	3	3	3	3	96	

		$z = 2111$						
n	g	λ_1	λ_1	λ_2	λ_3	λ_4	m	μ
6	0	2	2	3	4	32	202	
6	0	3	3	2	22	4	168	
6	0	2	2	3	22	5	125	
6	0	2	2	3	22	42	100	
6	1	22	22	2	222	33	57	10.5
6	1	2	2	32	222	33	60	
6	0	2	2	22	32	222	60	
6	1	3	3	2	222	33	58	
6	0	22	22	2	3	222	57	
6	1	222	222	2	3	32	48	8.0
6	1	2	2	4	222	33	48a	4.5
6	1	222	222	2	3	4	48b	
6	0	2	2	3	32	222	52	
6	0	2	2	3	22	33	48	
6	0	3	3	2	22	222	42	
6	1	222	222	2	22	4	40a	6.0
6	0	2	2	22	4	222	40b	
6	0	2	2	3	4	222	36	

		$z = 221$						
n	g	λ_1	λ_1	λ_1	λ_2	λ_3	m	μ
6	0	2	2	22	22	42	128	
6	0	2	2	4	4	3	89	
6	0	2	2	3	3	42	80	
6	0	2	2	3	3	5	75	
6	1	2	2	33	33	22	60a	4.5
6	1	3	3	222	222	22	60b	4.0
6	1	22	22	222	222	3	54a	9.0
6	0	2	2	22	22	33	54b	4.5
6	1	2	2	33	33	3	60	
5	0	2	2	3	3	22	58	
5	0	2	2	22	22	3	48	
6	0	2	2	3	3	33	39	
6	1	2	2	222	222	5	25ab	
6	1	2	2	222	222	42	16ab	
6	1	2	2	222	222	33		8.5
6	0	2	2	222	222	22		8.0
6	0	2	2	222	222	3		4.0

Five-point families with genus ≤ 1 and low degree

5. Generalities II: Specialization. For each partition z , we have a base-stack U_z over \mathbb{Z} which contains our specialization points (after quotient by PGL_2). We are interested in their points $U_z(\mathbb{Z}^{\mathcal{P}})$. These are very explicit sets.

Examples:

$$U_{1,1,1,1}(\mathbb{Z}^{\mathcal{P}}) = \{t \in \mathbb{Z}^{\mathcal{P}^\times} : t - 1 \in \mathbb{Z}^{\mathcal{P}^\times}\}$$

$$U_{1,1,1,1}(\mathbb{Z}^{\{2,3\}}) = S_3\{2, 3, 4, 9\} \quad (21 \text{ elements})$$

$$U_{3,1}(\mathbb{Z}^{\mathcal{P}}) = \{j\text{-invs for ECs over } \mathbb{Z}^{\mathcal{P}}\}$$

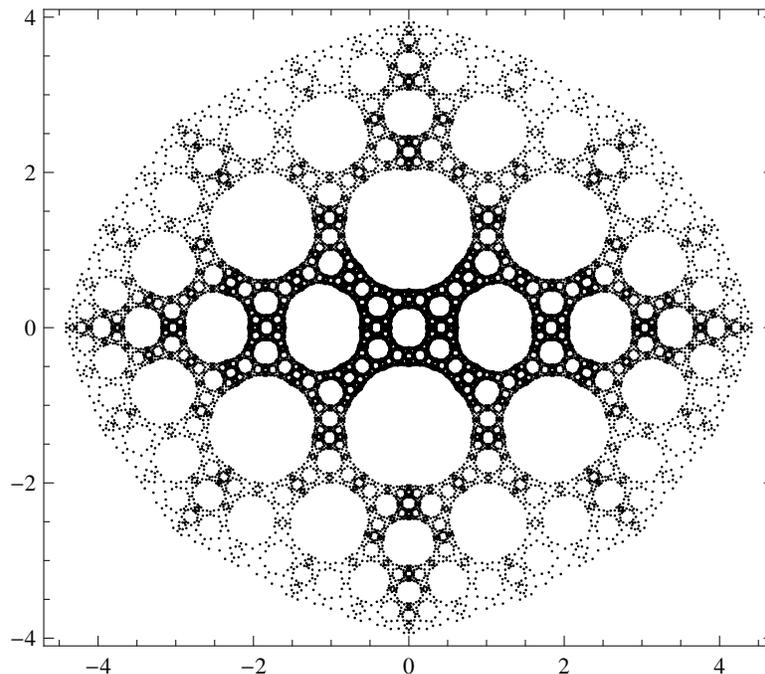
$$|U_{3,1}(\mathbb{Z}^{\{2,3,5\}})| = 440$$

It's easy to produce elements of these sets. In favorable cases, one can prove that there are no more elements, e.g. for the cases $U_{3c_2b_1a}(\mathbb{Z}^{\{2,3,5\}})$. For example,

$$|U_{2^{15}, 1^4}(\mathbb{Z}^{\{2,3,5\}})| = 3, 923, 023, 104, 000.$$

For the conjecture, it is important to prove that for all large \mathcal{P} , the sets $U_z(\mathbb{Z}^{\mathcal{P}})$ can be arbitrarily large. In fact this is true for all non-empty \mathcal{P} , via cyclotomic polynomials and their near-relatives.

Example: the roots of an irreducible near-relative of a cyclotomic polynomial of degree $2^{15} = 43768$ and discriminant of the form $\pm 2^*$. This polynomial gives one of many systematically constructed points in $U_{43768,1,1,1}(\mathbb{Z}\{2\})$.



6. 2000 fields in $NF_{202}(\{2, 3, 5\})$. To construct these fields:

I. Construct family belonging to

$$h = (3_0 2_b 1_c, 3_1 111, 4_\infty 11, 21111; 1, 1, 1, 2; S_6).$$

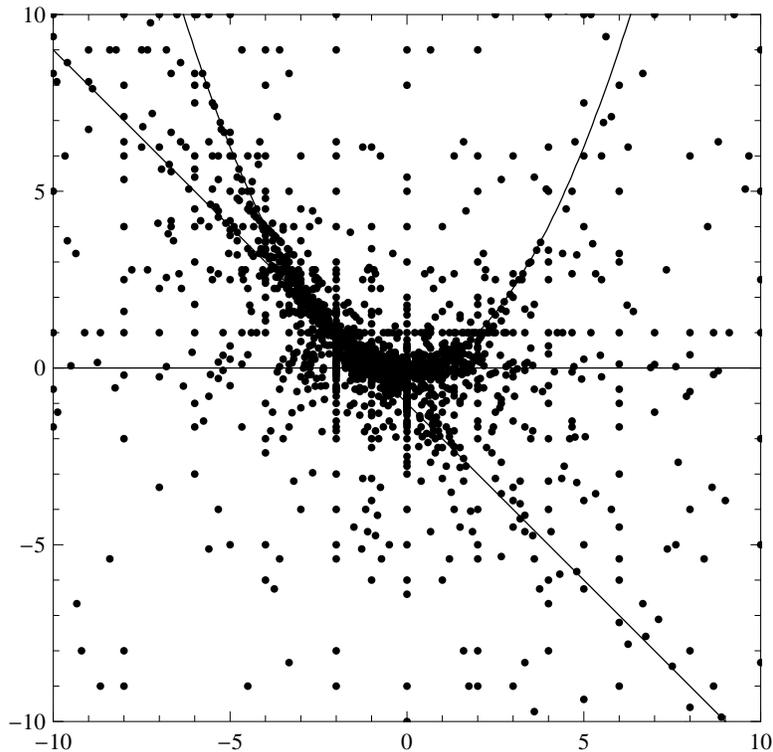
This procedure starts with consideration of

$$g(y) = \frac{ay^3(y-b)^2(y-c)}{y^2 + dy + e}$$

with (a, b, c, d, e) chosen so that the critical values are $0, 1, \infty$ and the two roots of $(t^2 + ut + v)$. The result is a polynomial $F(u, v; b)$ with 9226 terms.

II. Plug in the 2947 elements (u, v) in the specialization set $U_{1,1,1,2}(\mathbb{Z}\{2,3,5\})$. Each gives a degree 202 polynomial of field discriminant of the form $\pm 2^a 3^b 5^c$. To support the conjecture, we would like many of these polynomials to be irreducible with Galois group all of A_{202} or S_{202} .

The specialization set $U_{1,1,1,2}(\mathbb{Z}^{\{2,3,5\}})$ is



For all 2947 specialization points, the Galois group of $F(u, v; b)$ is all of A_{202} or S_{202} .

Even degenerations of our polynomial $F(u, v; b)$ have degrees which are large enough to pose computational challenges. For example

$$F(-2t, t^2; x) = (3x^2 - 12x + 10)^5 f_{32}(t, x)^3 f_{48}(t, x)^2$$

These degenerations give 4-point MNFs.

7. Concluding Remarks.

A. Starting with degree n families of Malle and others, involving the simple groups $\Gamma = PSL_2(7), PSL_2(8), PSL_2(11), M_{12}$, we get degree m families, still with A_m and S_m as desired, but now with other bad primes, e.g. $\{2, 3, 7\}$.

B. There seems hope for predicting the ramification of Hurwitz number algebras in terms of the placement of the specialization point in the relevant specialization set $U_z(\mathbb{Z}^{\mathcal{P}})$.

C. So what does the sequence of $F_{\mathcal{P}}(m) = |NF_m(\mathcal{P})|$ look like for \mathcal{P} large? We don't know, but perhaps something in the spirit of

$\dots, 0, 10^{10}, 0, \dots, 0, 0, 10^{100}, 0, 0, \dots, 0, 0, 0, 10^{1000}, 0, 0, \dots$